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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/740,552	12/19/2000	2871	710	09792909-4727		9	2

CONFIRMATION NO. 4498

## FILING RECEIPT



\*OC000000005816409\*

 SONNENSCHN NATH & ROSENTHAL  
 80th Floor - Sears Tower  
 233 S. Wacker Drive  
 Chicago, IL 60606

Date Mailed: 03/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kouichi Taniguchi, Kagoshima, JAPAN;

## Assignment For Published Patent Application

Sony Corporation,;

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN P11-362685 12/21/1999

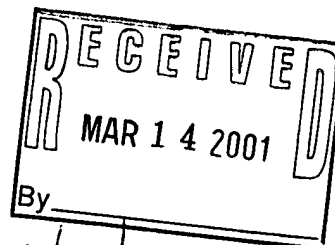
If Required, Foreign Filing License Granted 03/01/2001

Projected Publication Date: 06/21/2001

Non-Publication Request: No

Early Publication Request: No

Title


 RECEIVED 3/14/01 DOCKET  
 DOCKETED 3/14/01  
 DIARIED [Signature]  
 BY [Signature]

Liquid crystal display and method for fabricating the same

**Preliminary Class**

**349**

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**Data entry by : TSIGE, WUBALEM**

**Team : OIPE**

**Date: 03/01/2001**



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,552	12/19/2000	Kouichi Taniguchi	09792909-4727

CONFIRMATION NO. 4498

## FORMALITIES LETTER



\*OC000000006474322\*

SONNENSCHN NATH & ROSENTHAL  
80th Floor - Sears Tower  
233 S. Wacker Drive  
Chicago, IL 60606

Date Mailed: 08/27/2001

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below **and a newly executed oath or declaration covering the items must** be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/20/2001 EABUBA:K1 00000088 09740552

01 FC:122

130.00 DP



# Receipt  
# #

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Kouichi Taniguchi	)	New Attorney Docket No:
		)	09792909-4727
Serial No:	09/740,552	)	Old Attorney Docket No:
		)	P00,5075
Filed:	December 19, 2000	)	Group Art Unit No: 2871
		)	
Title:	LIQUID CRYSTAL DISPLAY AND)		Examiner: Unknown
	METHOD FOR FABRICATING )		
	THE SAME )		

Assistant Commissioner of Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION  
AND PETITION FOR ENTITLEMENT OF ORIGINAL FILING DATE**

Dear Sir:

In response to the Notice of Incomplete Nonprovisional Application mailed August 27, 2001, applicant petitions the Commissioner to award applicant the filing date of December 19, 2000 for the subject application.

The Application was complete as of December 19, 2000, the date when application was filed via U.S. Express Mail. Attached are a set of replacement drawings and copies of Express Mail receipt, Official Filing Receipt dated March 1, 2001, and the return receipt postcard date stamped by the U.S. Patent Office confirming receipt of all of the documents listed thereon as of December 19, 2000. Notably, the original Official Filing Receipt of March 1, 2001 did not indicate that any drawings were missing. Therefore, the Notice of Incomplete Nonprovisional Application was sent to applicant in error.

Applicant asserts that the originally filed drawings must have been lost or misplaced by the USPTO during transfer of the file from the OIPE to the Examining Division.

Enclosed is a check in the amount of \$130.00 to cover the petition fee.

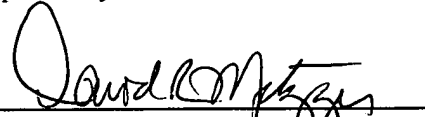
09/740,552-121500

In view of the foregoing documents, applicant requests that the subject patent application be awarded the filing date of December 19, 2000, applicant be refunded the filing petition fee of \$130.00 and the Notice of Incomplete Nonprovisional Application be withdrawn.

Respectfully submitted,

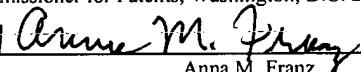
September 14, 2001

By:

  
David R. Metzger  
Reg. No. 32,919

SONNENSCHN NATH & ROSENTHAL  
P.O. Box #061080  
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(312)876-8000

I hereby certify that this document and any being referred to as attached or enclosed is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on

9/14/01   
Date Anna M. Franz

006T2T" 25504/60